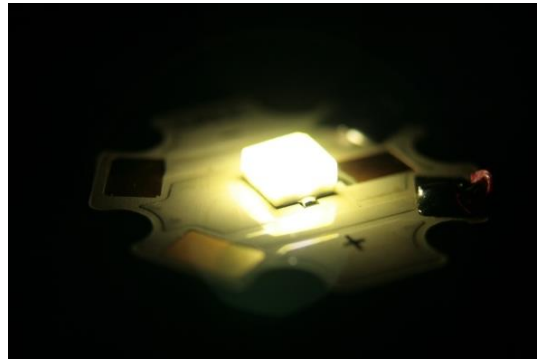


Quantum Dot Downconverters for Solid-State Lighting

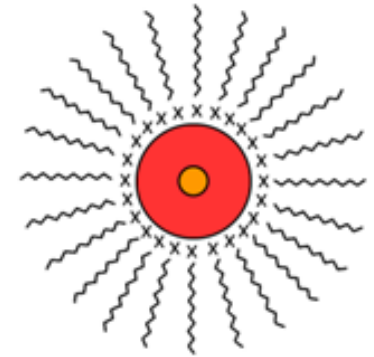
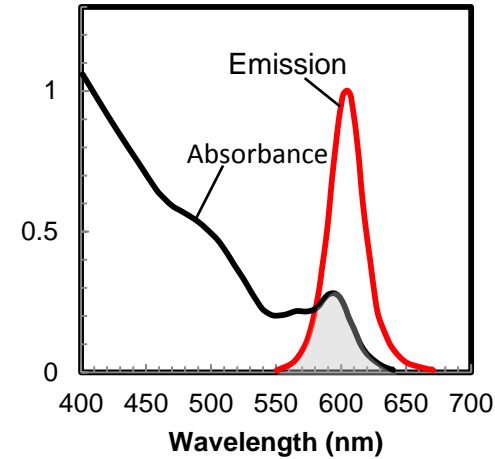
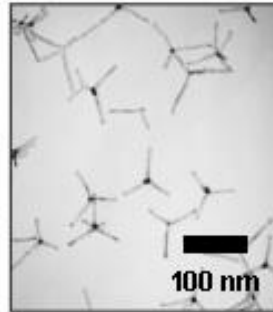
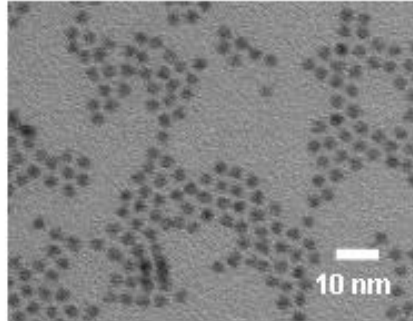
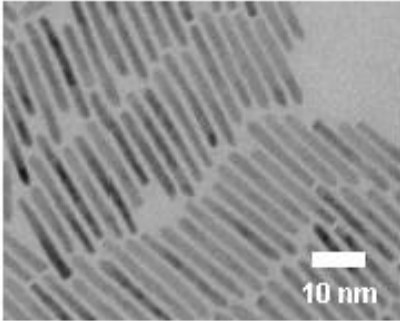


*Julian Osinski, Ph.D.
VP of Product Marketing
Pacific Light Technologies*

DOE SSL R&D Workshop, Tampa, FL, January 28, 2014

QD Nanoparticle Emitters

CdS, CdSe, CdTe

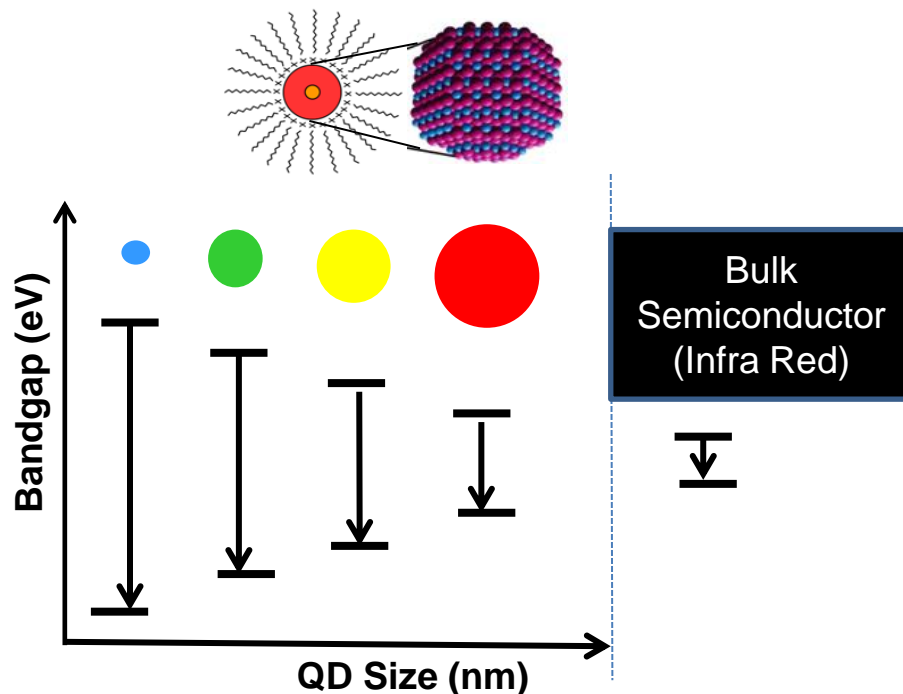
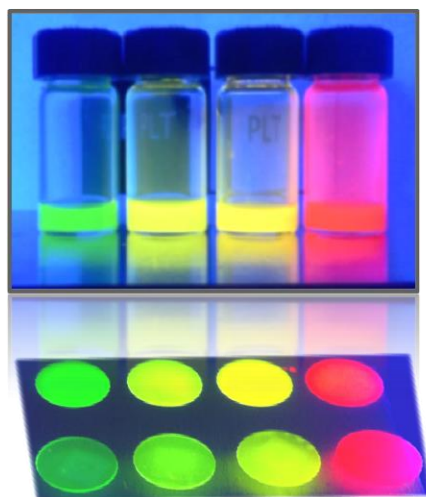


Murray, C. B.; Norris, D. J.; Bawendi, M. G. *JACS*, **1993**, 115, 8706
Alivisatos, A. P. *Nature Materials* **2003**, 2, 382

**Optical properties controlled
by size, materials, shape**

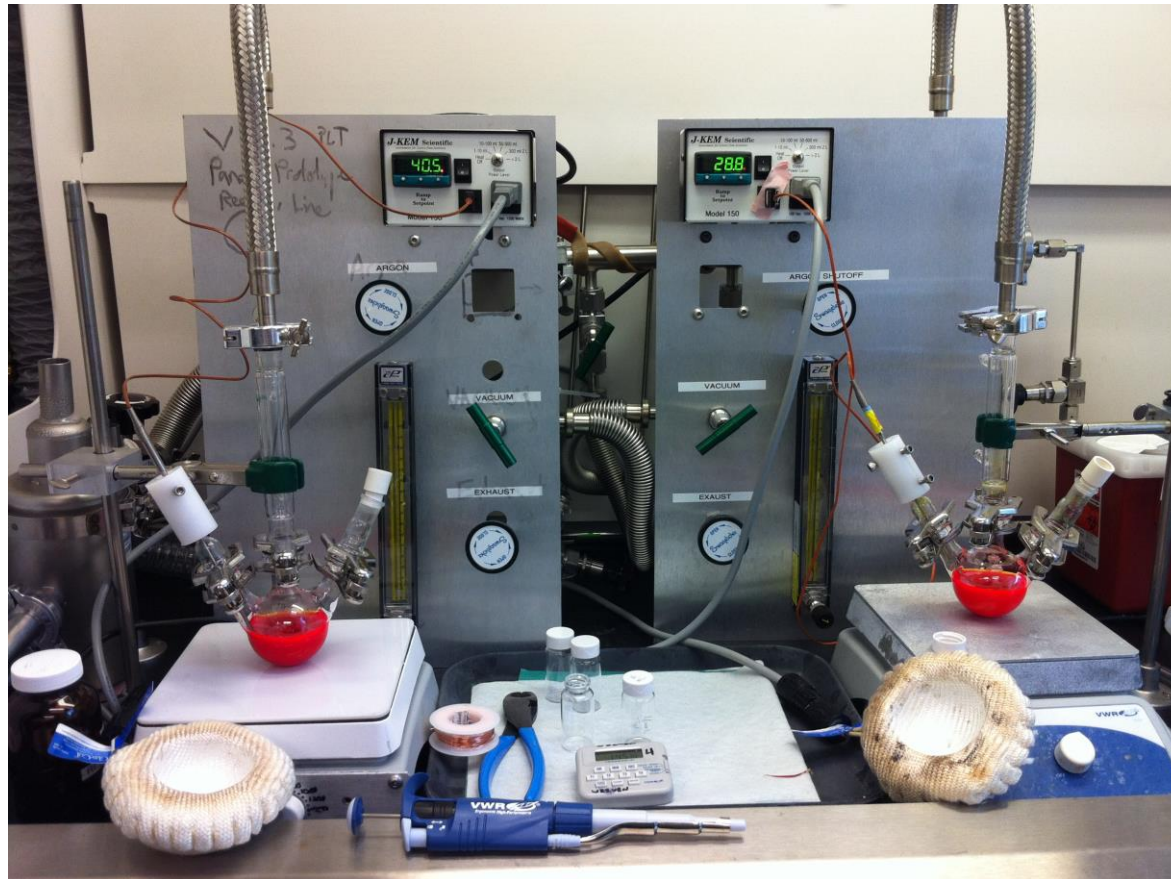


Advantages of QD downconverters



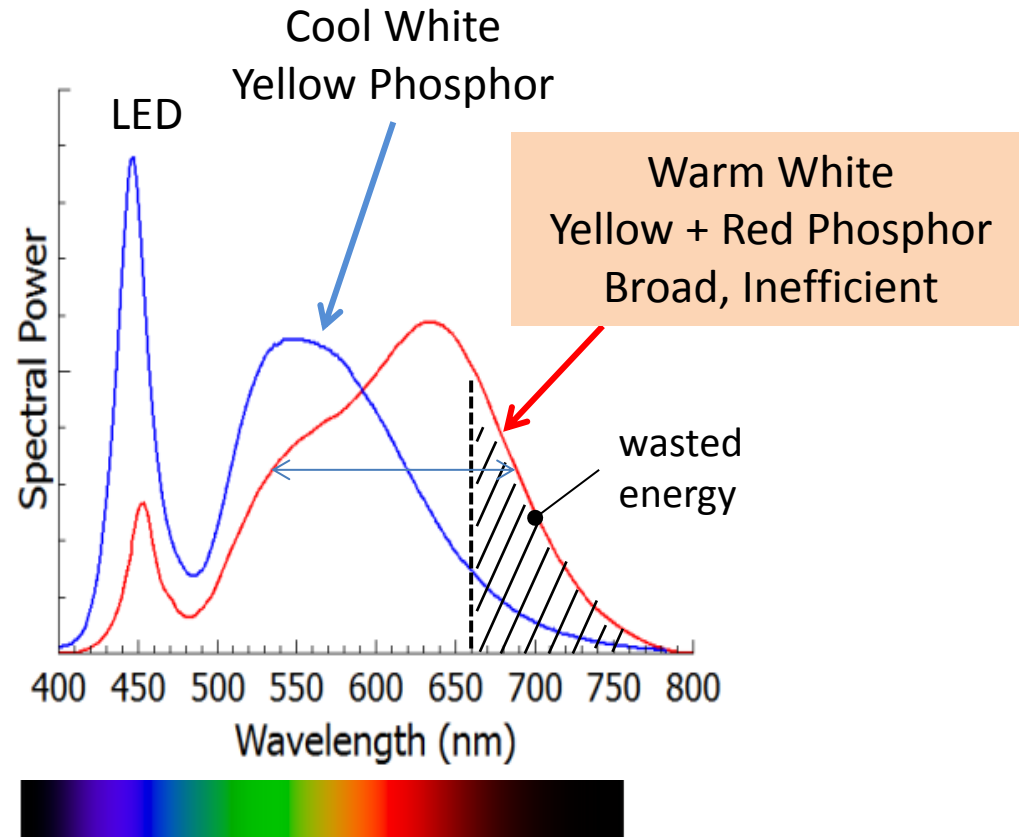
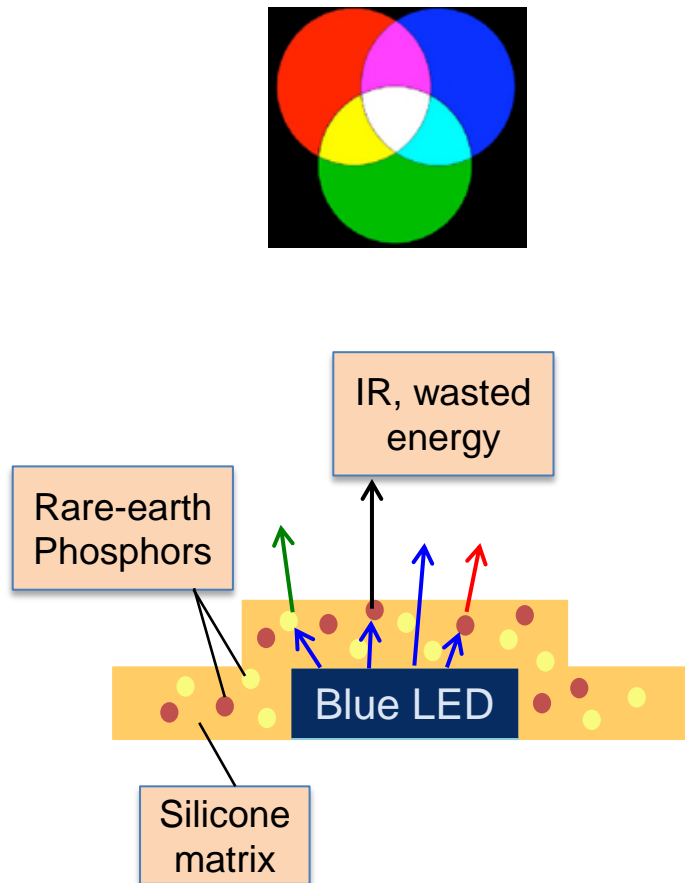
- *Precise peak emission placement (± 2 nm)*
- *Very narrow emission peaks (< 35 nm)*
- *Fast radiative decay times—(ns compared to μ s)*
- *Very high efficiencies*
- *Soluble--Composites can be clear*

QD Synthesis



- Liquid phase inorganic chemistry synthesis process
- Low capital equipment and space requirements

White Light LEDs: How It's Done Today



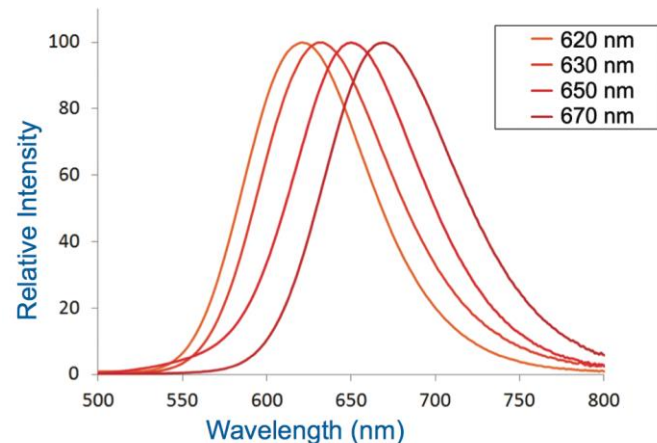
Blue LEDs + Phosphors Produce White Light



Phosphors vs QDs

Phosphors—efficient, reliable track record, but:

- Spectral widths 80-100nm typically
- Limited choices of wavelengths
- Limited absorption bands
- Contains rare-earth elements
- IP licensing requirements



Source: Intematix

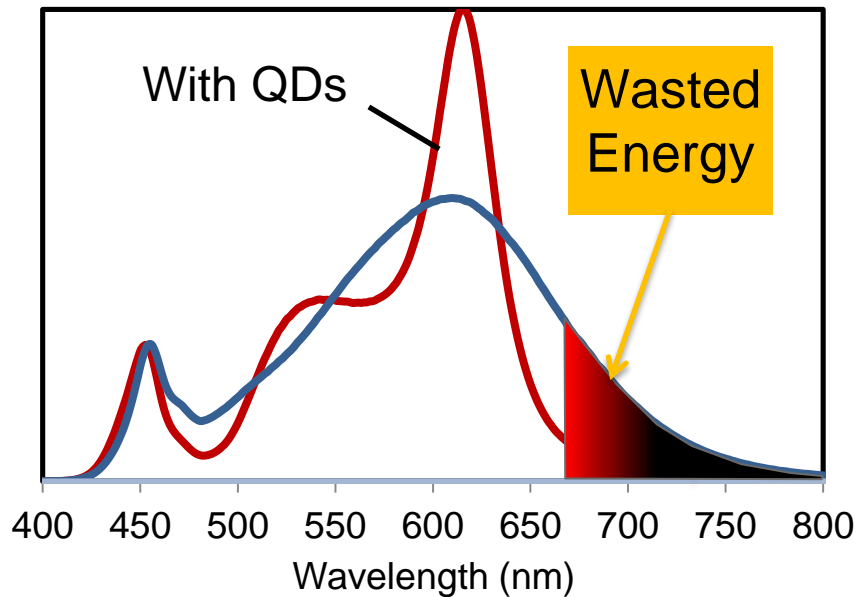
Previous QD Challenges:

- Compatibility with silicones
- Sensitivity to moisture
- Sensitivity to high optical intensity/temperature
- QD self absorption
- High cost, especially for large area remote systems
- Cd-containing materials



Why QDs in LEDs?

QDs Simultaneously Increase Efficacy and Improve Color Quality



- Red only or multi-color wavelengths where you want them
- Efficacy Improvements due to narrow spectrum: less wasted energy, **20-40% benefit**
- Customizable spectrum allows improved CRI: **>90 easily obtained**



QDs Reduce System Cost

- Fewer LEDs required in a luminaire
- Smaller drivers and heatsinks required

Efficiency Benefits

- Spectral efficiency benefits measured in Luminous Efficacy of Radiation (LER, lumens/optical watt)
- Luminous efficacy scales with LER at same quantum yield
- At downconverter quantum yield parity and cost parity, LER improvements translate directly to \$/lum savings
- For a high quality of light, *this benefit can be >40%*.

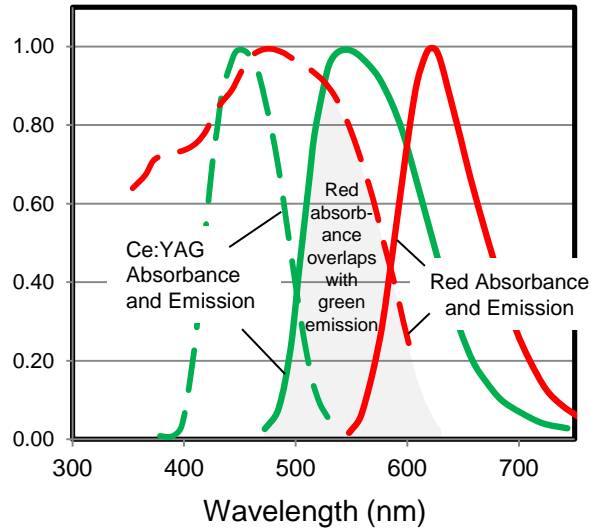
	LER (lumen/optical watt)		CCT = 3000K
	Phosphor Solution	QD Solution	Benefit
CRI = 80	300	370	>20%
CRI = 95	250	360	>40%

Nominal lum/\$ increase

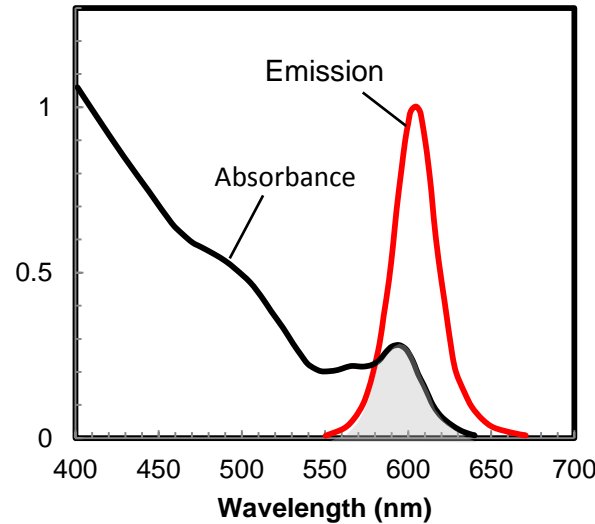


The Need for Low Self-Absorption Losses

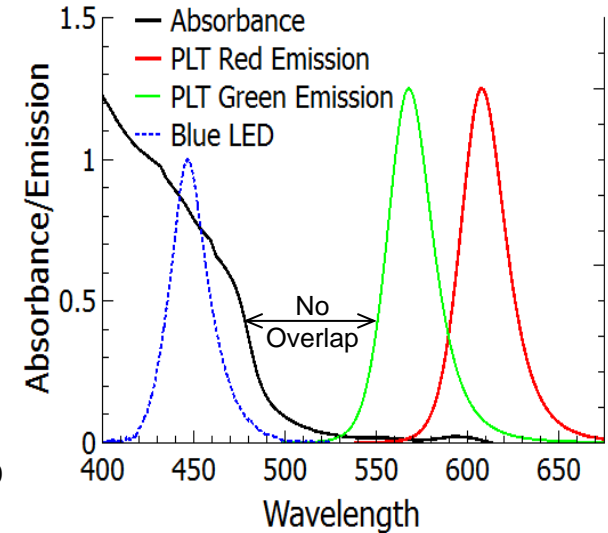
Conventional Phosphors



Conventional Quantum Dots



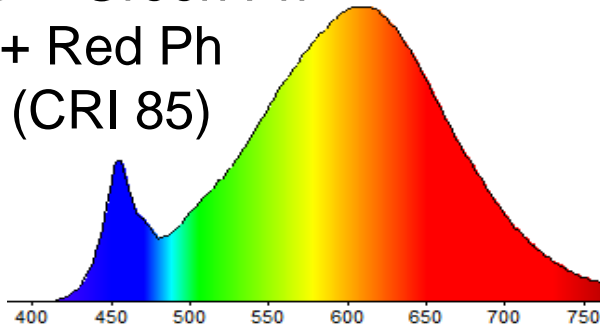
PLT Quantum Dots



Elimination of self-absorption allows high concentrations and color combinations which are difficult to achieve with conventional phosphors and conventional QDs

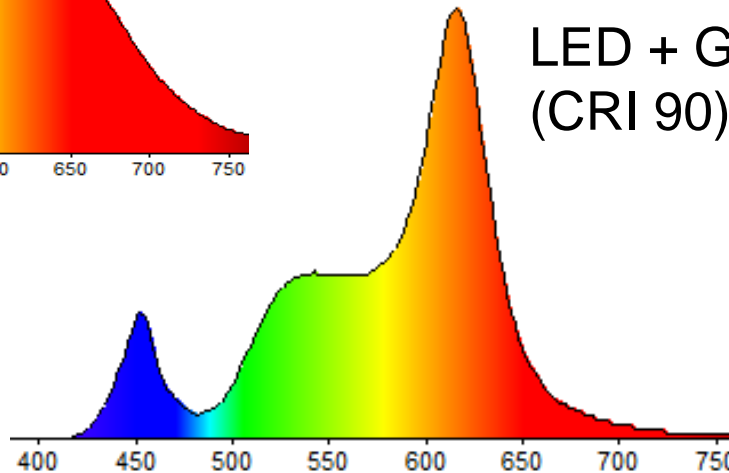
Quantum Dots Allow Maximum Spectral Engineering Capability

LED + Green Ph
+ Red Ph
(CRI 85)

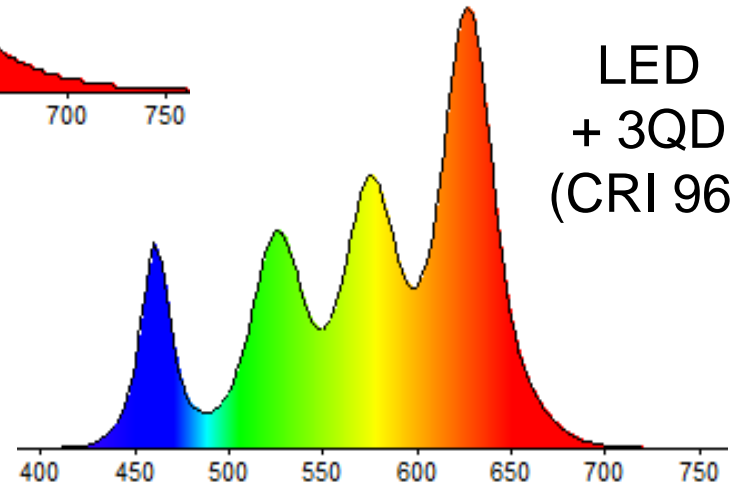


CCT = 3000K

LED + Green Ph + Red QD
(CRI 90)



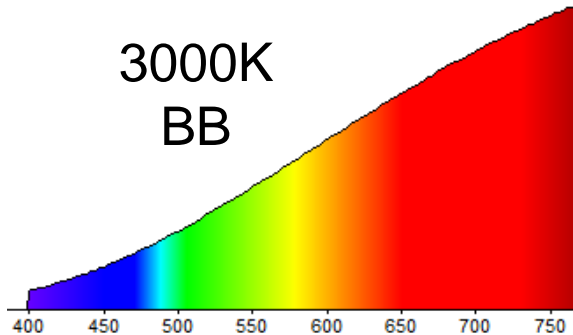
LED
+ 3QD
(CRI 96)



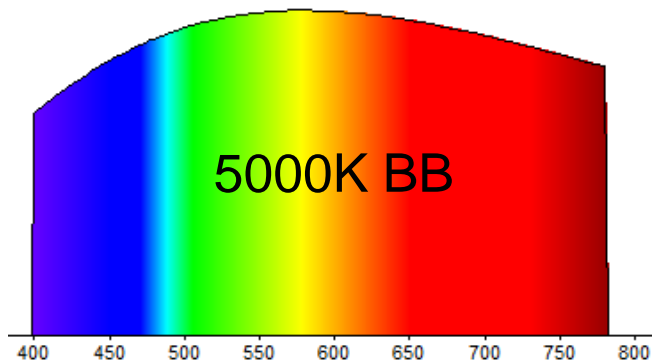
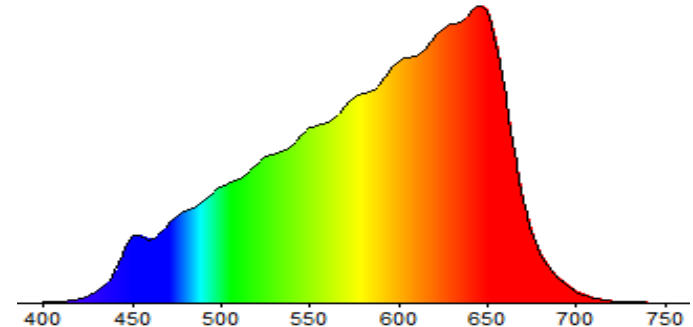
**Create your own
spectrum with QDs**



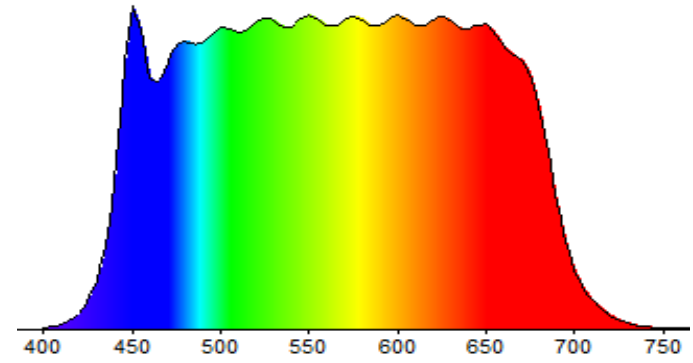
Quantum Dots ...*Color where you want it*



MQD

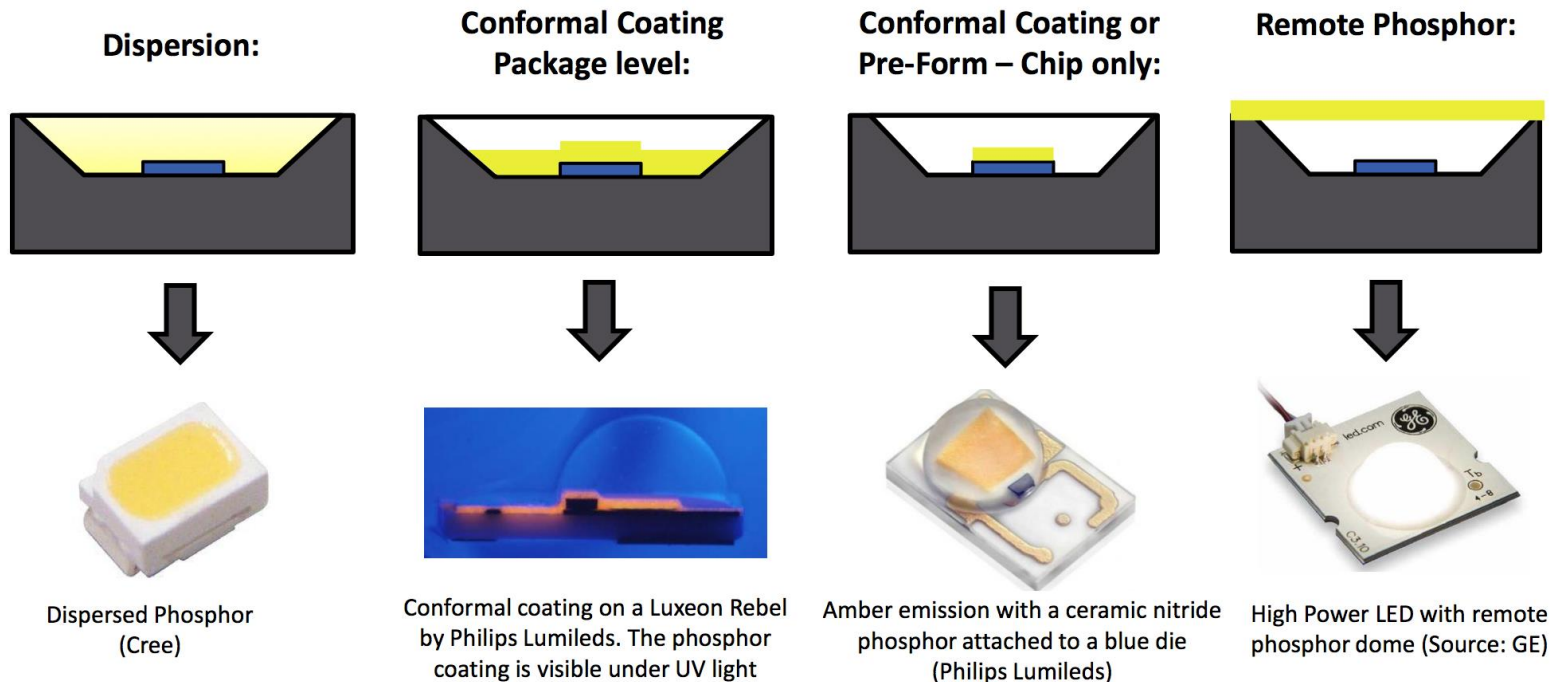


MQD



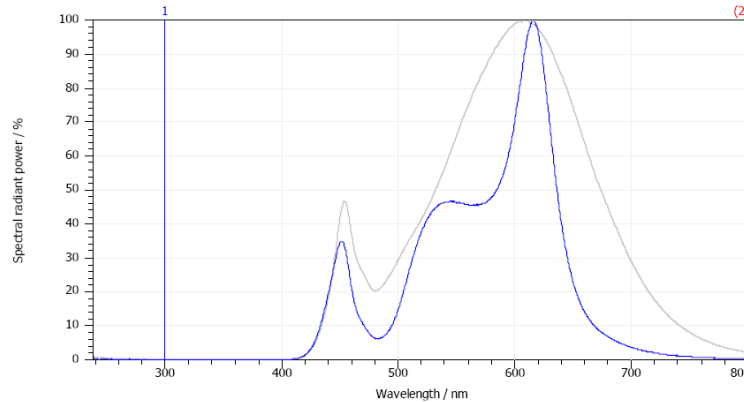
- Virtually any spectral shape, CCT, or CRI can be made
- Only achievable using QDs with low self-absorption

QDs in LED Packages

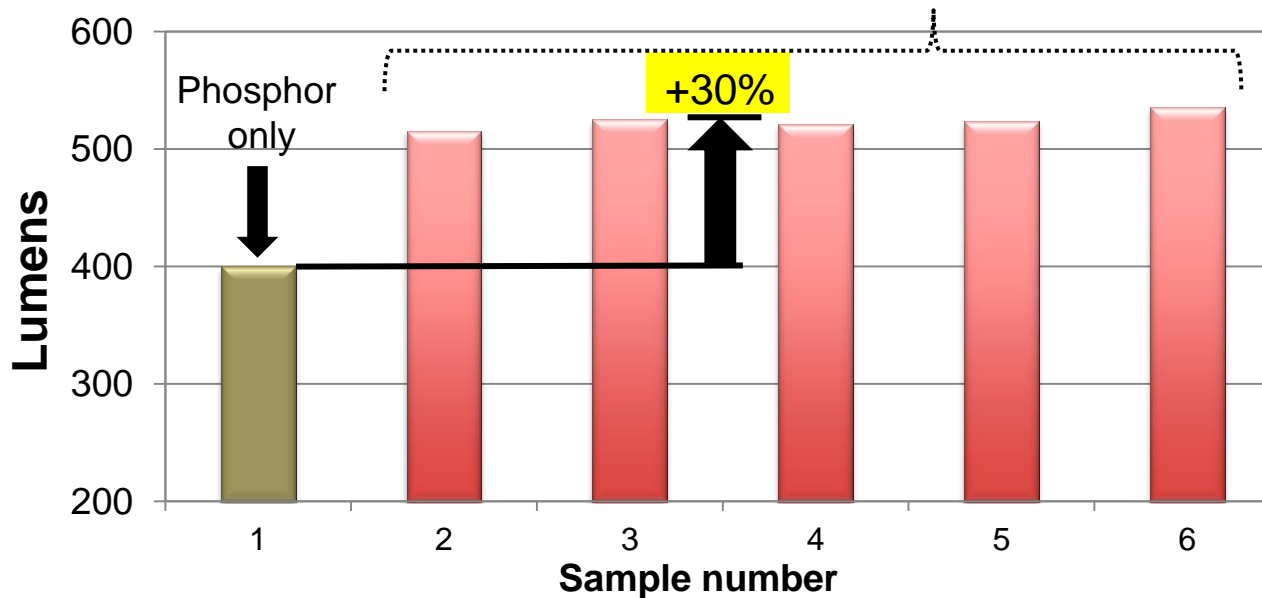


Quantum dots must perform on-chip to contribute significantly to solid-state lighting

Example: 30% Efficacy Benefit



Red Phosphor replaced with QDs



CCT
~ 3000 +/- 300K

CRI
~ 88 +/- 1



A19 Lamp Case Study: Reduced System Costs

- For 3000K, 90 CRI, 30% efficacy increase from a package means:
 - 30% higher lm/W and lm/\$
 - 23% lower driver costs
 - 23% lower heatsink costs
 - No additional control electronics needed, as for adding red LEDs
- A19 BOM:

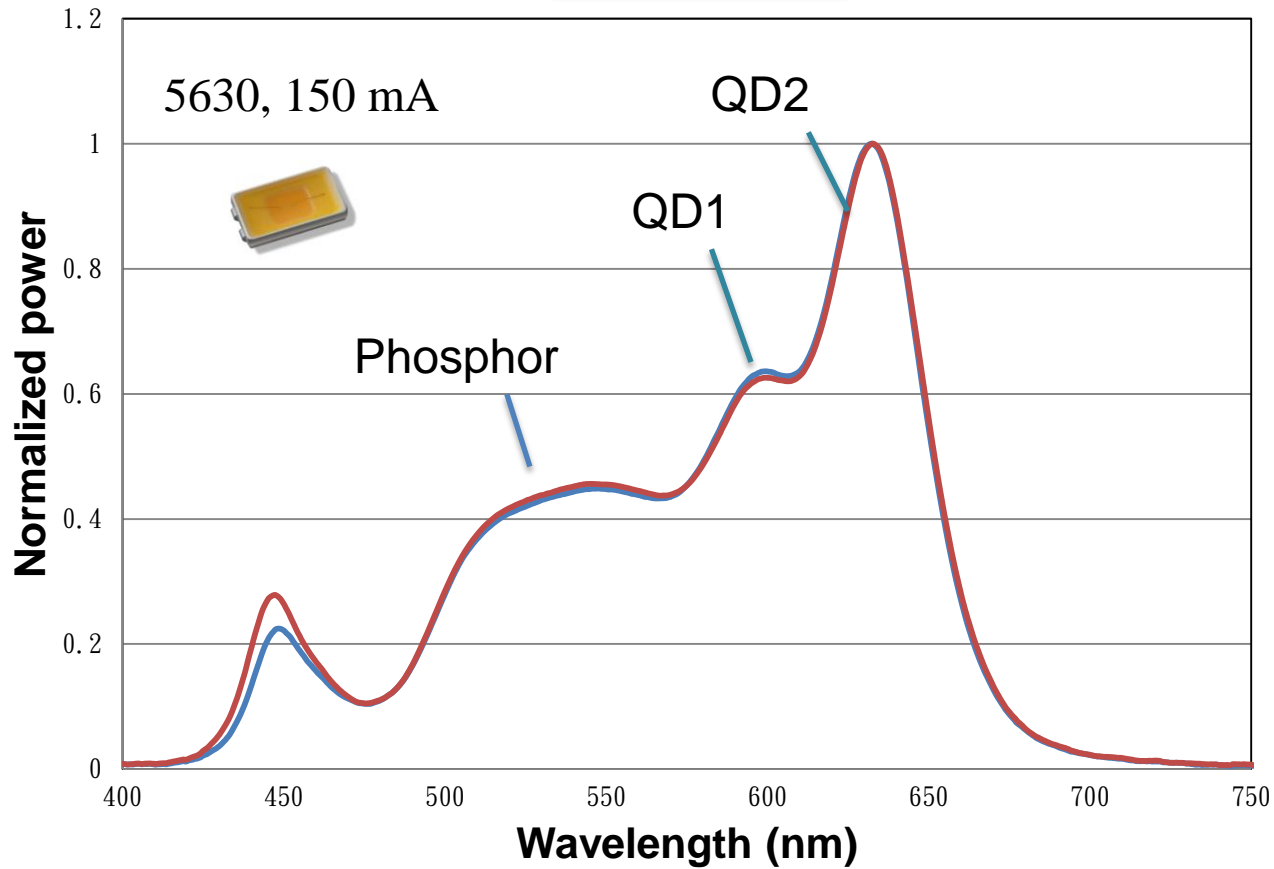
800 lm LED Lamp BOM					
	conventional		with QDs		
	8 x 130 lm		6 x 167 lm		
LEDs	\$	2.16	\$	1.68	22% savings
Power supply	\$	1.53	\$	1.18	23% savings
Heat sink	\$	0.94	\$	0.72	23% savings
Driver	\$	0.66	\$	0.51	23% savings
Optic	\$	0.45	\$	0.45	
Other	\$	0.54	\$	0.54	
Tot	\$	6.28	\$	5.08	19% lamp savings
			\$	1.20	\$ 1.20 tot savings

Small LED premium gives 19% lamp cost reduction



High CRI using Phosphor + 2QDs

CRI = 96



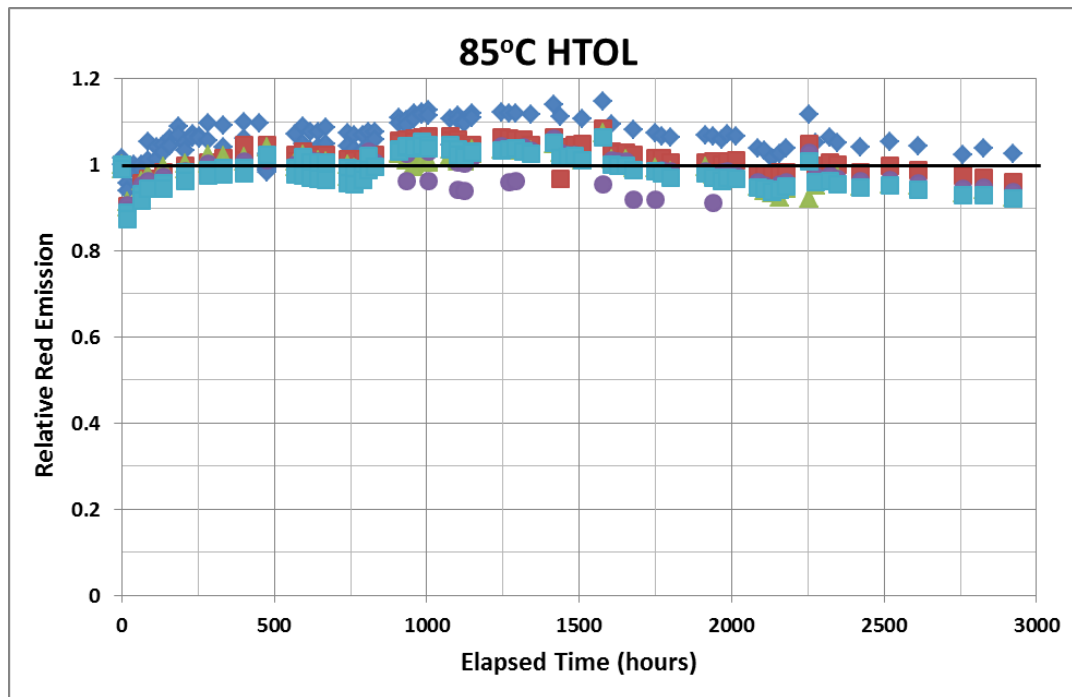
Key QD Operational Issues

- Thermal quenching
 - How much does efficiency decrease with temperature?
 - Color shift, lumen depreciation can result
 - Need this as flat as possible to 120C+
- Optical flux intensity effects
 - Blue pump power exceeds 100 W/cm² in high power packages
 - Must sustain operational flux density at high efficiency

See Wednesday panel for data and additional information!



Recent on-chip reliability results



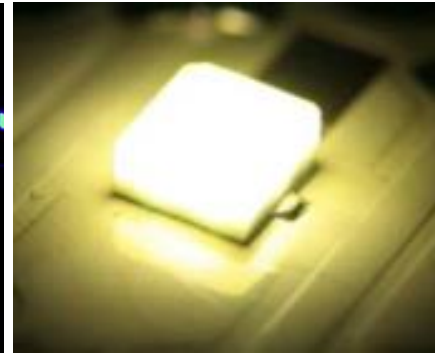
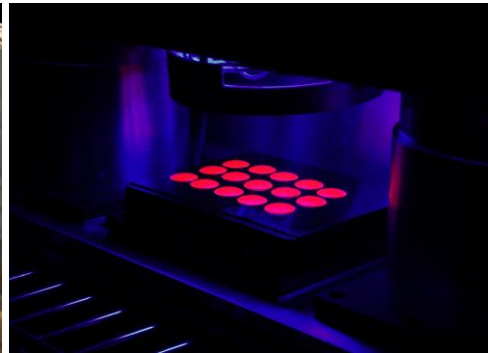
- Type 5630 packages
- 85C ambient, QDs>100C
- Flux intensity $\sim 50\text{W}/\text{cm}^2$
- *Stable to >3000 hrs*

Current/Future QD Directions

- QDs tailored for on-chip applications
 - Mid-power; 50W/cm², >120C at downconverter
 - High-power; 150W/cm², >150C at downconverter
- Wavelengths across the spectrum
- More materials work
 - Cd-free QDs

About PLT

- HQ in Portland, OR
- Founded May, 2011
- Founders and original IP from SpectraWatt
- 35 employees/consultants
- *On-chip quantum dots – the preferred solution*



Thank you!

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Acknowledgements: Drs. Juanita Kurtin, Norbert Puetz, and the entire staff of Pacific Light Technologies

